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THE UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C., United States of America

In re Application of:

Glenn Eric Bailey, et al.

Serial No.:

09/851,367

Filed:

05/08/2001

Examiner:

Group Art Unit: 2875

For:

r: FULLY RECESSED UNIT EQUIPMENT LUMINAIRE

Atty. Docket:

ZL327-01008

INFORMATION DISCLOSURE STATEMENT UNDER 1.97(B)

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Dear Sir/Madam:

In accordance with 37 CFR §1.56, the references listed below are being brought to the attention of the examiner for consideration in connection with the examination of the above identified patent application. A copy of the cited references is enclosed.

U.S. REFERENCES CITED:

DATE	PATENT NO.	INVENTOR
01-02-2001	6,168,294	Erni, Ernst R., et al.
10-10-2000	Re. 36,908	Ling, Geoffrey
07-04-2000	6,082,031	Heaton, Thomas K., et al.
04-18-2000	6,050,708	Roorda, John W.
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July 25, 2001 Page 2 of 3 Application No. 09/851,367 Attorney Docket No. ZL327-01008

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FOREIGN REFERENCES CITED: N/A

DATE PUBLICATION NO. APPLICANT

MIDDLETON REUTLINGER

July 25, 2001 Page 3 of 3 Application No. 09/851,367 Attorney Docket No. ZL327-01008

CERTIFICATION UNDER 37 CFR §1.97(B)

This information is being submitted within three months after the filing date of the application and before the mailing date of the first office action. As such, no fee is due for its submission.

Respectfully submitted,

Jeffrey A. Haeberlin, Reg. No. 40,630

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Date: 8-28-2001

Encl: Form PTO/SB/21

PTO/SB/08A (including a copy of the references)
Return postcard for evidencing receipt of above items

PATENTABILITY SEARCH RESULTS

CHLORIDE SYSTEMS DIVISION "Fully Recessed Unit Equipment ZL327/01008 Vol. 1

PATENTABILITY SEARCH RESULTS

CHLORIDE SYSTEMS DIVISION "Fully Recessed Unit Equipment" ZL327/01008

INDEX

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4.	6,050,708	Roorda	April 18, 2000
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15.	5,416,683	McCarthy	May 16, 1995
16.	5,379,195	Epstein	January 3, 1995
17.	5,335,151	Dahlberg	August 2, 1994

18.	5,217,299	Yoshida et al.	June 8, 1993
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24.	4,796,169	Shemitz	January 3, 1989
25.	4,768,140	Szpur	August 30, 1988
26.	4,760,507	Lasker et al.	July 26, 1988
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28.	4,623,956	Conti	November 18, 1986
29.	4,519,019	Hall	May 21, 1985
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32.	4,450,513	Guggemos	May 22, 1984
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